

Practitioner's Docket No. Lewis/Integrat

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Aaron LEWIS

Application No.: 10/533,904

Filed: 05/05/2005

For: INTEGRATED STIMULATION FABRICATION AND CHARACTERIZATION OF MICRO  
AND NANO OPTICAL ELEMENTS

Box Missing Part  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

COMPLETION OF FILING REQUIREMENTS  
-- NONPROVISIONAL APPLICATION

I. This replies to the Notification of Missing Requirements (Form PCT/DO/EO/905) mailed  
08/04/2005

A copy of the Notification of Missing Requirements is enclosed.

DECLARATION OR OATH

II. No declaration or oath was filed. Enclosed is a copy of the original declaration or oath for this  
application.

SMALL ENTITY STATUS

III. Small entity status is claimed for this application.

PRELIMINARY AMENDMENT

IV. The Preliminary Amendment filed on May 5, 2005 was not entered because the amendment applied  
to the original claims. Therefore, Applicant hereby submits a Preliminary Amendment applied to the  
claims of the PCT application. Applicant requests entry of this amendment prior to the calculation of  
fees.

10/03/2005 LLANDGRA 00000086 10533904

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65.00 OP

## COMPLETION FEES

V.

1. Surcharge Fees

Late payment of filing fee  
and/or late filing of original  
declaration or oath  
(37 C.F.R. Section 1.16(e))

\$ 65.00

2. Assignment (See "ASSIGNMENT  
COVER SHEET")

\$ 40.00

Total Completion Fees

\$105.00

## EXTENSION OF TIME

VI. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

## ASSIGNMENT

VII. Attached is an assignment for the above-identified application along with the required fee and Assignment Recordation Cover Sheet

## PAYMENT OF FEES


VIII. Enclosed is a check in the amount of \$105.00 (check # 19406)

## AUTHORIZATION TO CHARGE ADDITIONAL FEES

IX. The Commissioner is hereby authorized to charge additional fees that may be required by this paper to Account No. 10-1213.

Date:

9/27/05



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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/533,904	Aaron Lewis	Lewis/Integrat

INTERNATIONAL APPLICATION NO.
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PCT/US03/32741

I.A. FILING DATE	PRIORITY DATE
11/06/2003	11/06/2002

23294

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CONFIRMATION NO. 8006

371 FORMALITIES LETTER



\*OC000000016676309\*

Date Mailed: 08/04/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 05/05/2005
- Copy of the International Search Report filed on 05/05/2005
- Copy of IPE Report filed on 05/05/2005
- Copy of Annexes to the IPE filed on 05/05/2005
- Preliminary Amendments filed on 05/05/2005
- Oath or Declaration filed on 05/05/2005
- Small Entity Statement filed on 05/05/2005
- Request for Immediate Examination filed on 05/05/2005
- U.S. Basic National Fees filed on 05/05/2005
- Power of Attorney filed on 05/05/2005

AUG - 9 2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$1125 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

- \$65 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$1140 for a Small Entity:

- \$65 Late oath or declaration Surcharge.
  - Preliminary Amendments have not been entered because Article 34 entered and Preliminary Amendment applied to original claims.
- Total additional claim fee(s) for this application is \$ 1125
  - \$1125 for 58 total claims over 20.

(A previous payment of \$50 will be applied to the additional fees indicated above.)

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/533,904	PCT/US03/32741	Lewis/Integrat